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Date: 6-19-01

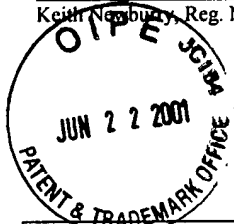
Keith Newbury, Reg. No. 38,960

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PATENT

Attorney Docket: H0001266

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



| | |
|--|---|
| In re application of: Raymond W. Borden et al. Serial No.: 09/834,595 Filing Date: 04/13/2001 For: Multi-Pole High Speed Generator with a Modular Rectifier Circuit | Art Unit: 2834 Examiner: Not yet assigned. |
|--|---|

REQUEST FOR CORRECTION OF FILING RECEIPT

ASSISTANT COMMISSIONER FOR PATENTS
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Dear Sir:

Attached is a copy of the filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the following data that was incorrectly reported.

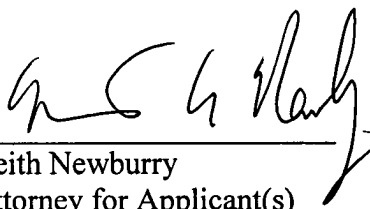
The filing receipt does not list all the Applicants and their cities and states of residence. The missing Applicants and their cities and states of residence are: Jim Lengel, Oro Valley, AZ; Kieran Doherty, Oro Valley, AZ; Gregor McDowall, Tucson, AZ; Gerald Tornquist, Tucson, AZ. Please add the following Applicants after the name of Mr. Borden and before the name of Mr. Xu:

Jim Lengel, Oro Valley, AZ;
Kieran Doherty, Oro Valley, AZ;
Gregor McDowall, Tucson, AZ;
Gerald Tornquist, Tucson, AZ;

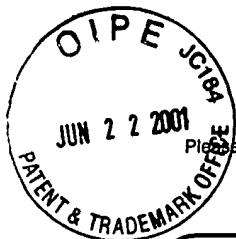
Applicants respectfully request that a corrected filing receipt be issued with the corrected data as per the enclosed copy of the filing receipt.

Respectfully submitted,

Date: 6-19-01



Keith Newbury
Attorney for Applicant(s)
Reg. No. 38,980



Please type a plus sign (+) inside this box → ☐

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Approved for use through 09/30/2000. OMB 0651-0031
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| | | | |
|--|----------------------|---------------------------|----------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/834,595 | |
| | Filing Date | 04/13/2001 | |
| | First Named Inventor | Raymond W. Borden, et al. | |
| | Group Art Unit | 2834 | |
| | Examiner Name | Not yet assigned. | |
| Total Number of Pages in This Submission | 11 | Attorney Docket Number | H0001266 |

| ENCLOSURES (check all that apply) | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Small Entity Statement | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | - Request to Correct Filing Receipt - Copy of marked-up Filing Receipt - Copy of Declaration for Utility Patent Application - Postcard | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--------------------------------|
| Firm or Individual name | Keith Newbury, Esq. |
| Signature | <i>[Handwritten Signature]</i> |
| Date | 6-19-01 |

| CERTIFICATE OF MAILING | | | |
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| I hereby certify that this correspondence is being deposited with the United States Postal Service as <u>first class mail in an</u> envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>6-19-01</u> | | | |
| Typed or printed name | Keith Newbury | | |
| Signature | <i>[Handwritten Signature]</i> | Date | 6-19-01 |

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/834,595 | 04/13/2001 | 2834 | 1796 | H0001266 | 8 | 67 | 6 |

CENTRAL RECORDS - MTO

CONFIRMATION NO. 4262

Keith Newbury, Esq.
 Honeywell International, Inc.
 Law Dept. AB2
 P.O. Box 2245
 Morristown, NJ 07962

1 AUG 2001
 JUN 12 2001

FILING RECEIPT



OC000000006147821

Date Mailed: 06/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Raymond W. Borden, Farmingdale, NJ;
 Ming Xu, Tucson, AZ;

Jim Lengel, Oro Valley, AZ;
 Kieran Doherty, Oro Valley, AZ;
 Gregor McDowall, Tucson, AZ;
 Gerald Tornquist, Tucson, AZ;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/04/2001

Projected Publication Date: 10/17/2002

Non-Publication Request: No

Early Publication Request: No

Title

Multi-pole high speed generator with a modular rectifier circuit

Preliminary Class

310

Data entry by : BEYENE, MELKAM

Team : OIPE

Date: 06/05/2001



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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
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- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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